



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

December 9, 2024

Re: Animal Welfare Assurance
A3456-01 [OLAW Case 11I]

Shashank Priya, Ph.D.
Vice President for Research and Innovation
University of Minnesota – Main Campus
(b) (4) 101 Pleasant St. SE
Minneapolis, MN 55455

Dear Dr. Priya,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 27, 2024, letter reporting an occurrence of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Minnesota. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this office understands that the University of Minnesota Animal Care and Use Committee (ACUC) determined that an incident of noncompliance occurred with respect to failure to adhere to IACUC-approved protocols and failure to follow institutional policies (i.e., housing of animals outside of the animal facility over 24 hours). The final report states that during a post-approval monitoring inspection, lab staff reported that 16 mice were housed in the lab for more than 24 hours to conduct an approved behavioral procedure. Per the report, this represents noncompliance with institutional policies (i.e., the lab is not an approved satellite facility), as well as a protocol deviation since the protocol is approved for the use of animals in the lab space for less than 24 hours. There were no impacts on animal welfare according to daily observations by the lab staff and the mice had access to food and water during this time.

Per the final report, the Principal Investigator has acknowledged this error and confirmed that mice will not be maintained outside of their approved housing location for more than 24 hours in the future. Additionally, compliance will be monitored by the IACUC via semi-annual inspections and annual post-approval monitoring. With this, the IACUC determined the corrective action plan was adequate, and no further action was required.

It is noted that this study is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that University of Minnesota has implemented measures to correct and prevent recurrence of this problem.

We appreciate being informed of this matter and find no cause for further action by this Office.

Sincerely,

Angelina M.
Williams -S

Angelina Williams, DVM, MPH, DACLAM
Animal Welfare Program Specialist
Office of Laboratory Animal Welfare

Digitally signed by
Angelina M. Williams -S
Date: 2024.12.09 12:48:05
-05'00'

cc: IACUC Contact

A3456-11I

UNIVERSITY OF MINNESOTA

Twin Cities Campus

Institutional Animal Care and Use Committee (IACUC) Office

(b) (4)

(b) (4) AcNamara Ctr
200 Oak St SE, (b) (4)
Minneapolis, MN 55455

(b) (6)

iacuc@umn.edu

www.research.umn.edu/units/iacuc

November 27, 2024

TO: Axel Wolff, DVM
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

RE: University of Minnesota Animal Care Program D16-00288 (A-3456-01)
NIH Grant Number: R01AG031743-16
UMN IACUC #40222A

Dear Dr. Wolff,

In keeping with the terms of our assurance, please be informed that we are making a report of non-compliance of an IACUC approved, NIH funded study involving mice. The basis of this report results from a post-approval monitoring inspection. During the inspection, lab staff reported that during the week of September 30th, 2024, 16 mice underwent an approved behavioral procedure for measurement of in-cage activity. The investigator was approved to conduct this procedure in their lab space for under 24 hours. However, the mice were brought to the lab for acclimation approximately 23 hours prior to beginning the procedure, resulting in animals being maintained outside of their approved housing facility for almost 48 hours. The lab is not an approved satellite housing facility.

No impacts on animal welfare were observed as a result of this non-compliance, and mice had access to food and water and were monitored at least daily by lab staff during the time that they were housed in the lab space.

We want to inform you that we consider this matter closed. The PI has acknowledged this error and confirmed that mice will not be maintained outside of their approved housing location for more than 24 hours in the future. Compliance will be monitored by semi-annual and annual post approval monitoring by the IACUC.

Sincerely,

(b) (6)

Shashank Priya, Ph.D.
Vice President for Research and Innovation
Institutional Official, University of Minnesota
200 Oak Street, Minneapolis, MN 55455

CC: Erin Dickerson, Chair, IACUC
Nate Koewler, Institutional Veterinarian
AAALAC

Driven to DiscoverSM

Ware, Teagan (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, December 5, 2024 7:49 AM
To: (b) (6)
Cc: (b) (6); Nate Koewler; Erin Dickerson; OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: [EXTERNAL] OLAW non-compliance report for NIH Grant R01AG031743-16

Good morning,

Thank you for providing this report, which has been assigned as OLAW case **A3456-11I**. We will send an official response soon.

Best,
Teagan

Teagan Ware, MS, PMP
Animal Welfare Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: teagan.ware@nih.gov

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From: (b) (6)
Sent: Wednesday, December 4, 2024 9:20 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6); accredit@aaalac.org; Nate Koewler <koew0004@umn.edu>; Erin Dickerson <edickers@umn.edu>
Subject: [EXTERNAL] OLAW non-compliance report for NIH Grant R01AG031743-16

Dear Dr. Wolff,

Attached please find the non-compliance letter sent on behalf of VP Priya.

Best,

(b) (6)

(b) (6)