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| UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE  OFFICIAL WARNING NOTICE OF ALLEGED VIOLATION | CASE NUMBER: AL240009 |
| | ALLEGED VIOLATOR: University of Alabama at Birmingham |
| | ADDRESS (City, State, ZIP Code): BIRMINGHAM, AL 35294 |

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed the following alleged violation(s) of Federal laws:

Date of Alleged Violation: January 31, 2024

9 C.F.R. § 2.38(f)(1) Miscellaneous.

Handling of all animals shall be done as expeditiously and carefully as possible in a manner that does not cause trauma, overheating, excessive cooling, behavioral stress, physical harm, or unnecessary discomfort.

Date of Alleged Violation: January 31, 2024

9 C.F.R. § 2.33(b)(3) Attending veterinarian and adequate veterinary care.

Each research facility shall establish and maintain programs of adequate veterinary care that include:

Daily observation of all animals to assess their health and well-being; Provided, however, That daily observation of animals may be accomplished by someone other than the attending veterinarian; and Provided, further, That a mechanism of direct and frequent communication is required so that timely and accurate information on problems of animal health, behavior, and well-being is conveyed to the attending veterinarian.

Date of Alleged Violation: January 31, 2024

9 C.F.R. § 3.130 Watering.

If potable water is not accessible to the animals at all times, it must be provided as often as necessary for the health and comfort of the animal. Frequency of watering shall consider age, species, condition, size, and type of the animal. All water receptacles shall be kept clean and sanitary.

The Animal and Plant Health Inspection Service (APHIS) published federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the alleged violation(s) described above. This Official Warning is not to be construed as a final agency action, or as an adjudicated finding of a violation. If APHIS obtains evidence of any future violation of these federal regulations, APHIS may pursue civil penalties, criminal prosecution, or other sanctions for this alleged violation(s) and for any future violation(s). If you have any questions concerning this Official Warning or alleged violation(s), please contact the APHIS official listed in this notice.

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| APHIS OFFICIAL (Name): Dr. Roxanne Mullaney, D.V.M. | OFFICE ADDRESS: 4700 River Road, Unit 84 Riverdale, MD 20737 | |
| APHIS OFFICIAL (Title): Deputy Administrator, APHIS, Animal Care | DATE ISSUED: March 21, 2024 | TELEPHONE NUMBER: (970) 494-7478 |