

PEOPLE FOR THE ETHICAL TREATMENT OF ANIMALS

March 19, 2024

Sheila Garrity, JD, MPH, MBA Director Office of Research Integrity

Alexander Runko, Ph.D.
Director
Office of Research Integrity
Division of Investigative Oversight

Via e-mail: AskORI@hhs.gov; Alexander.Runko@hhs.gov

Dear Ms. Garrity and Dr. Runko:

I'm writing on behalf of People for the Ethical Treatment of Animals (PETA) to request that the Office of Research Integrity (ORI) investigate NIH-funded Principal Investigator Augustine M.K. Choi for research misconduct.

Dr. Choi, who is the former Stephen and Suzanne Weiss Dean of Weill Cornell Medicine and provost for medical affairs of Cornell University, has had at least ten publications retracted or withdrawn in the past several months for image duplication and/or manipulation. ^{1,2,3,4,5,6,7,8,9,10} Each of these publications was determined to have had either duplicated image panels, spliced images, and/or included images from previous publications. ^{11,12,13,14,15,16,17,18} Additionally, at least four of Dr. Choi's publications have required corrections, ^{19,20,21,22} and there are several other publications for which Dr. Choi is a co-author and/or corresponding author that have concerns about duplicated or manipulated images^{23,24,25,26,27,28} as noted on the online forum PubPeer.

Dr. Choi has received more than \$71 million dollars of research funding from the NIH and is currently receiving funding through multiple active projects from the National Heart, Lung, and Blood Institute (NHLBI), including Projects P01HL114501, R33HL153011, and T32HL134629. One of these grants, Project R33HL153011, involves a carbon monoxide treatment for acute respiratory distress with human volunteers, despite the fact that several of Choi's publications describing the use of this treatment with nonhuman animals have been retracted due to image manipulation.^{6,7,9,10}

It is also worth noting that several of the publications called into question involve invasive procedures being performed on live animals, including deliberately infecting mice with lethal doses of infectious agents, cecal ligation punctures used to induce sepsis in mice, and in some cases, procedures that induce lung fibrosis via silica administration.

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As you may recall, <u>PETA submitted a response to a 2022 Request for Information (RFI)</u> (see pg. 18), asking that for all research involving vulnerable populations, including nonhuman animals, ORI increase both scrutiny and subsequent penalties. We hope that you take these recommendations seriously given the number of research misconduct cases that involve invasive experiments on nonhuman animals.

We request ORI investigate Dr. Choi to determine whether the problematic images published in the publications listed above were the result of research misconduct, as well as review the investigator's submitted grant applications for similarly deliberate manipulation of images and data.

Thank you for your time and consideration.

Sincerely,

Katherine V. Roe Ph.D.

MAR.

Chief Scientist

Laborotory Investigations Department

¹ Moon JS, Nakahira K, Chung KP, et al. NOX4-dependent fatty acid oxidation promotes NLRP3 inflammasome activation in macrophages [retracted in: Nat Med. 2023 Dec;29(12):3272]. *Nat Med.* 2016;22(9):1002-1012. doi:10.1038/nm.4153

² Moon JS, Hisata S, Park MA, et al. mTORC1-Induced HK1-Dependent Glycolysis Regulates NLRP3 Inflammasome Activation [retracted in: Cell Rep. 2023 Jun 27;42(6):112639]. *Cell Rep.* 2015;12(1):102-115. doi:10.1016/j.celrep.2015.05.046

³ Ryter SW, Choi AM, Kim HP. Profibrogenic phenotype in caveolin-1 deficiency via differential regulation of STAT-1/3 proteins [retracted in: Biochem Cell Biol. 2023 Aug 1;101(4):380]. *Biochem Cell Biol.* 2014;92(5):370-378. doi:10.1139/bcb-2014-0075

⁴ Siempos II, Ntaidou TK, Filippidis FT, Choi AM. RETRACTED: Effect of early versus late or no tracheostomy on mortality of critically ill patients receiving mechanical ventilation: a systematic review and meta-analysis [retracted in: Lancet Respir Med. 2015 Feb;3(2):102]. *Lancet Respir Med.* Published online June 26, 2014. doi:10.1016/S2213-2600(14)70125-0

⁵ Slebos DJ, Ryter SW, van der Toorn M, et al. Mitochondrial localization and function of heme oxygenase-1 in cigarette smoke-induced cell death [retracted in: Am J Respir Cell Mol Biol. 2023 Apr;68(4):463]. *Am J Respir Cell Mol Biol*. 2007;36(4):409-417. doi:10.1165/rcmb.2006-0214OC

⁶ Song R, Mahidhara RS, Liu F, Ning W, Otterbein LE, Choi AM. Carbon monoxide inhibits human airway smooth muscle cell proliferation via mitogen-activated protein kinase pathway [retracted in: Am J Respir Cell Mol Biol. 2023 Jul;69(1):118]. *Am J Respir Cell Mol Biol*. 2002;27(5):603-610. doi:10.1165/rcmb.4851

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⁸ Moon JS, Lee S, Park MA, et al. UCP2-induced fatty acid synthase promotes NLRP3 inflammasome activation during sepsis. *J Clin Invest*. 2015;125(2):665-680. doi:10.1172/JCI78253

⁹ Song R, Ning W, Liu F, et al. Regulation of IL-1beta -induced GM-CSF production in human airway smooth muscle cells by carbon monoxide [retracted in: Am J Physiol Lung Cell Mol Physiol. 2020 Dec 1;319(6):L1062]. *Am J Physiol Lung Cell Mol Physiol*. 2003;284(1):L50-L56. doi:10.1152/ajplung.00212.2002

¹⁰ Wang X, Wang Y, Kim HP, Nakahira K, Ryter SW, Choi AM. Carbon monoxide protects against hyperoxia-induced endothelial cell apoptosis by inhibiting reactive oxygen species formation [published correction appears in J Biol Chem. 2024 Feb 19;300(3):105758]. *J Biol Chem.* 2007;282(3):1718-1726. doi:10.1074/jbc.M607610200

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- ¹⁴ The Editors Of The Lancet Respiratory Medicine. Retraction and republication-Effect of early versus late or no tracheostomy on mortality of critically ill patients receiving mechanical ventilation: a systematic review and meta-analysis [retraction of: Lancet Respir Med. 2014 Jun 26;. pii: S2213-2600(14)70125-0. doi: 10.1016/S2213-2600(14)70125-0]. *Lancet Respir Med.* 2015;3(2):102. doi:10.1016/S2213-2600(15)00005-3
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- ¹⁶ Retraction: Carbon Monoxide Inhibits Human Airway Smooth Muscle Cell Proliferation via Mitogen-activated Protein Kinase Pathway [retraction of: Am J Respir Cell Mol Biol. 2002 Nov;27(5):603-10]. *Am J Respir Cell Mol Biol.* 2023;69(1):118. doi:10.1165/rcmb.691Retraction
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