



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

July 23, 2020

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 10C]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your July 13, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin School of Medicine and Public Health (SMPH). This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the SMPH Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: the deaths of two mouse weanlings. The final report states that laboratory members forgot to place a feed hopper in the cage when animals were weaned. Also, the animal care personnel did not detect this oversight. The corrective action plan included the following:

- The retraining of all lab members on required husbandry when weanlings are separated into new cages and confirming the condition of all cages that have been weaned.
- The retraining of the vivarium staff involved by their supervisor.

It is understood that these animals were supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Wisconsin Madison has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T. Tubbs -S

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2020.07.24
08:53:40 -04'00'

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



A3368-10C

Office of the Vice Chancellor for
Research and Graduate Education
UNIVERSITY OF WISCONSIN-MADISON

July 13, 2019

Dr. Brent Morse, DVM, DACLAM
Acting Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360
6705 Rockledge Drive, MSC 7982
Bethesda MD 20892-7982

Dear Dr. Morse:

This letter is a follow up to a mid-June adverse event that occurred in the UW School of Medicine and Public Health (SMPH).

Two mouse weanlings were found dead in a cage in a vivarium. Laboratory members forgot to place a hopper of food in the cage when the animals were weaned, and animal care personnel did not notice the oversight. This research is supported by NIH: R01HL144086.

The following steps were taken to prevent this type of event from occurring again. All lab members have been re-trained on required husbandry when weanlings are separated into new cages and on double-checking the condition of all cages that have been weaned. Involved vivarium care staff have also received re-training from their supervisor.

The event and corrective actions were discussed at the July 6, 2020 meeting of the SMPH ACUC. The Committee approved the assessment report and the corrective actions.

If you have any questions, please contact Dr. Janet Welter, Chief Campus Veterinarian, or either of us.

Sincerely,

(b) (6)

Daniel Uhrich, PhD
SMPH ACUC Chair

(b) (6)

Nadine Connor, PhD
Institutional Official

Office of the Vice Chancellor for Research and Graduate Education
333 Bascom Hall 500 Lincoln Drive Madison, Wisconsin 53706 608-262-1044

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, July 15, 2020 7:13 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Monday, July 13, 2020 11:46 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@AAALAC.org; Nadine Connor <nadine.connor@wisc.edu> (b) (6)
(b) (6) Dan Uhlrich <dan.uhlrich@wisc.edu>; Janet Welter <welter@rarc.wisc.edu>
Subject: Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

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FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

November 4, 2020

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 10J]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 30, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin - Madison. Your letter supplements the information in the prompt telephone report on August 26, 2020. According to the information provided, OLAW understands that on August 22, 2020, five mice were found dead in a vivarium cage without food. The animals were associated with a PHS-supported activity.

Corrective and preventive actions included the vivarium supervisor retraining the involved animal care staff on the importance of checking the food every day. The involved staff had not previously been associated with such an event.

The prompt consideration of this matter by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the action taken to resolve the issue and prevent recurrence is appropriate. If research staff were involved in the initial incident, OLAW assumes that they have also received counseling and/or retraining. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.

Morse -S

Date: 2020.11.04 16:22:44 -05'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact



A3368-10J

Office of the Vice Chancellor for
Research and Graduate Education
UNIVERSITY OF WISCONSIN-MADISON

October 30, 2020

Dr. Brent Morse, DVM, DACLAM
Acting Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360
6705 Rockledge Drive, MSC 7982
Bethesda MD 20892-7982

Dear Dr. Morse:

This letter is a follow up to an August adverse event that occurred in the UW School of Medicine and Public Health (SMPH). Dr. Janet Welter, Chief Campus Veterinarian, provided an initial report to OLAW on August 26, 2020. This research is supported by NIH: NS093866.

Five mice were found dead in a vivarium cage without food. As a corrective step to prevent this type of event from occurring again, the vivarium supervisor retrained the involved animal care staff on the importance of checking the food every day. The involved staff had not previously been associated with such an event.

The SMPH ACUC discussed the event and corrective actions at their August 31, 2020 meeting. The Committee approved the assessment report and the corrective actions.

If you have any questions, please contact Dr. Janet Welter or either of us.

Sincerely,

(b) (6)

(b) (6)

Daniel Uhlrich, PhD
SMPH ACUC Chair

Nadine Connor, PhD
Institutional Official

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, November 2, 2020 7:28 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this report. We will respond soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Friday, October 30, 2020 3:57 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@AAALAC.org; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)
(b) (6) Dan Uhlrich <dan.uhlrich@wisc.edu>; Janet Welter <welter@rarc.wisc.edu>
Subject: Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)





Initial Report of Noncompliance

By: [Redacted] (b) (6)

Date: 8/26/20

Time: 10:40

Name of Person reporting: Janet Welter AV
Telephone #: [Redacted] (b) (6)
Fax #: [Redacted]
Email: [Redacted]

Name of Institution: Univ. of Wisconsin - Madison
Assurance number: A3368 (SMPH)

Did incident involve PHS funded activity? _____
Funding component: _____
Was funding component contacted (if necessary): _____

What happened? 5 mice found dead in cage + no food in cage. Cage changed after procedure.
Species involved: Mouse
Personnel involved: Lab member
Dates and times: 8/22/20
Animal deaths: Yes

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY
Case # _____



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

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FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

October 15, 2021

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 11N]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 19, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin-Madison following up on an initial September 2, 2021 notification by telephone.

According to the information provided, this Office understands that the University of Wisconsin-Madison Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to institutional policies/procedures and lack of food in a cage housing animals. The final report states on June 29, 2021 a Research Veterinarian performed a routine walk-through of a rodent vivarium. A room housing six *Peromyscus leucopus* mice was identified with a door husbandry sheet that was not checked off by laboratory personnel from June 26-29, 2021. It is stated that one cage did not have food present, but no animals appeared harmed, and no deaths occurred. In response, the responsible lab member along with the veterinarian provided food, discussed how the incident occurred, and discussed possible solutions to prevent future recurrence. It is understood the lab member and principal investigator (PI) submitted letters acknowledging the incident to the Chief Campus Veterinarian, Research Veterinarian and IACUC Chair detailing steps to prevent recurrence. Per the report, retraining of the laboratory personnel and PI was completed by the Research Veterinarian and facility supervisor. Also, the facility supervisor will instruct her staff to check the door sheet and sign off/report back to the Research Veterinarian if there are additional concerns. The IACUC has discussed the matter and corrective actions and has not required further action.

It is noted these animals are associated with PHS-funded activity. Based on its assessment of this explanation, OLAW understands that the University of Wisconsin-Madison has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs-S
Date: 2021.10.15 07:28:41 -0400

Jacquelyn Tubbs, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



A3368

**Office of the Vice Chancellor for
Research and Graduate Education**
UNIVERSITY OF WISCONSIN-MADISON

September 19, 2021

Dr. Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL, Suite 360
6705 Rockledge Drive, MSC 982
Bethesda, MD 20892

Dear Dr. Morse,

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone call from Janet Welter, DVM, Chief Campus Veterinarian on September 2, 2021.

On June 29, 2021, a Research Veterinarian was performing a routine walk-through of a rodent vivarium. One room housed 6 *Peromyscus leucopus* mice and the door husbandry sheet was not checked off by responsible laboratory personnel from June 26-29, 2021; one cage also had no food. No animals appeared harmed or died. The responsible laboratory member along with the veterinarian provided food, discussed how this event occurred, and discussed potential solutions to avoid reoccurrence. Both the responsible laboratory personnel and the PI submitted letters acknowledging the problem to the Chief Campus Veterinarian, Research Veterinarian and IACUC Chair discussing steps to prevent reoccurrence.

Retraining of the laboratory personnel and PI was completed by the Research Veterinarian and facility supervisor. The facility supervisor will also have her staff check the door sheet for completeness and sign off/report back to the Research Veterinarian if there are continued concerns. The animals were associated with a PHS-supported activity.

The School of Veterinary Medicine's Institutional Animal Care and Use Committee discussed this incident and the corrective measures at their monthly meeting on September 14, 2021, and required no further action.

Sincerely,

(b) (6)

Nadine P. Connor, Ph.D.
Professor and Oros Family Chair in Communication Sciences & Disorders
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

(b) (6)

Rebecca Johnson, DVM, PhD, DACVAA
Clinical Professor and Research Anesthesiologist
Chair – School of Veterinary Medicine, Institutional Animal Care and Use Committee

xc: AAALAC
Janet Welter

(b) (6)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, September 29, 2021 7:53 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Tuesday, September 28, 2021 11:41 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)
(b) (6) Janet Welter <welter@rarc.wisc.edu>; Becky Johnson <rebecca.johnson@wisc.edu>
Subject: Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)





Initial Report of Noncompliance

By: BCM

Date: 9/3/2021

Time: 3:30

Person Reporting: Janet Welter, AV

Telephone #: [REDACTED] (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Wisconsin (Madison)

Assurance number: A3368

Did incident involve PHS funded activity? yes

Funding component:

Was funding component contacted (if necessary):

Peromyscus found to have water but were low on food. Room sheets not updated.

Species involved: Mus musculus

Personnel involved: ?

Dates and times: 7/29/21

Animal deaths: no

Projected plan and schedule for correction/prevention (if known):

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY

Case #



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

February 1, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 11S]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 19, 2022 letter reporting an adverse incident within the animal care and use program at the University of Wisconsin – Madison. Your letter supplemented the information in the preliminary telephone report on November 30, 2021. According to the information provided, OLAW understands that on 11/10/2021, a water line was found to not be properly secured to a mouse rack. The flexible line was attached to give the appearance of being properly in place, and there was no water leakage at the attachment point. However, the line was not secured sufficiently to supply the rack with an appropriate amount of water. Ten mice were reported dead secondary to the incident. The associated activity was PHS funded.

The animal facility supervisor re-checked all water lines in the facility after the event and provided re-training to animal care staff on properly securing and checking water line attachments. Research laboratory members were not associated with the occurrence of this event.

The consideration of this incident by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse - Digitally signed by Brent C. Morse

-S

Date: 2022.02.01 16:52:30 -05'00'

S

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

cc: IACUC contact



13368 - 115

**Office of the Vice Chancellor for
Research and Graduate Education**
UNIVERSITY OF WISCONSIN-MADISON

January 19, 2022

Dr. Brent Morse, DVM, DAACLAM
Acting Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360
6705 Rockledge Drive, MSC 7982
Bethesda MD 20892-7982

Dear Dr. Morse:

This letter is a follow up to a November 2021 event that occurred in the UW School of Medicine and Public Health (SMPH) and was reported to OLAW on November 29, 2021 by UW Chief Campus Veterinarian, Dr. Janet Welter. The protocol has PHS funding.

On 11/10/2021, an SMPH animal facility supervisor self-reported an incident where a water line was not properly secured to a mouse rack. The flexible line was attached to give the appearance of being properly in place, and there was no water leakage at the attachment point. However, the line was not secured sufficiently to supply the rack with an appropriate amount of water. Ten mice were reported dead secondary to the incident.

The animal facility supervisor re-checked all water lines in the facility after the event and provided re-training to animal care staff on properly securing and checking water line attachments. Research laboratory members were not associated with the occurrence of this event.

The SMPH ACUC discussed the event and corrective actions at their December 6, 2021 meeting. The Committee approved the assessment report and the corrective actions.

If you have any questions, please contact Dr. Welter or either of us.

Sincerely,

(b) (6)

Daniel Uhrich, PhD
SMPH ACUC Chair

(b) (6)

Nadine Connor, PhD
Institutional Official

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, January 21, 2022 7:24 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Thank you for this report. We will send a response soon.
Axel Wolff

From: (b) (6)
Sent: Wednesday, January 19, 2022 4:22 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; Dan Uhlrich <dan.uhlrich@wisc.edu>; (b) (6) Janet Welter <welter@rarc.wisc.edu>
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



Initial Report of Noncompliance

By: (b) (6)

Date: 11/30/2021

Time: 3:50

Name of Person reporting: Janet Welter, DVM, AV

Telephone #: (b) (6)

Fax #: (b) (6)

Email:

Name of Institution: University of Wisconsin - Madison

Assurance number: A3368

Did incident involve PHS funded activity? ?

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? 10 mice died when water supply was not "seated".

Species involved: Mouse

Personnel involved:

Dates and times:

Animal deaths: Yes, some euthanized due to dehydration

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

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FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

February 6, 2023

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12M]

Dr. Nadine Connor
Associate Vice Chancellor for
Research Policy and Compliance
University of Wisconsin - Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 18, 2023 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Wisconsin – Madison. According to the information provided, OLAW understands that on January 7, 2023, one ventilated cage with two dead mice was reported by an animal caretaker. He reported that the water bottle had the sealant sticker on it so they could not access water. The mice were weaned into the cage by a student in the lab on December 29, 2022. The associated activity was PHS funded.

Retraining of the laboratory personnel was completed by the PI and retraining of the animal care technicians was completed by the Research Program Associate Director. The facility supervisor emailed all lab staff, veterinary staff and veterinary technicians using the ventilated caging system in the applicable rooms; they were required to read and respond as retraining on the correct water bottle to use.

The consideration of this incident by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C. Morse

-S

Date: 2023.02.06 15:18:55 -05'00'

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

cc: IACUC contact



A3368-12M

Office of the Vice Chancellor for
Research and Graduate Education
UNIVERSITY OF WISCONSIN-MADISON

January 18, 2023

Dr. Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL, Suite 360
6705 Rockledge Drive, MSC 982
Bethesda, MD 20892

Dear Dr. Morse,

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, is providing this report of an incident.

On Jan 7, 2023, one Innovive ventilated cage with two dead mice was reported by an animal caretaker in the 2nd floor vivarium of the School of Veterinary Medicine, Room 2439. He reported that the water bottle had the sealant sticker on it so they could not access water. The mice were weaned into the cage by a student in the lab on 12/29/22. During retraining, the student said they knew to remove the sticker. Sterile water bottles with stickers are not typically used in that room, and the cage had not been changed by the animal research technicians, so it seemed unlikely that the care staff had placed the water bottle. The senior program veterinarian saw the report from 01/07/23 and started her investigation on 01/09/23.

Retraining of the laboratory personnel was completed by the PI and retraining of the animal care technicians was completed by the Research Program Associate Director. The facility supervisor emailed all lab staff, veterinary staff and veterinary technicians using the Innovive caging system in the applicable rooms; they were required to read and respond as retraining on the correct water bottle to use. The PI provided the facility veterinarian with a copy of her lab's retraining documentation.

The animals were associated with an NIH-supported activity. The Chief Campus Vet was informed on 01/12/23. The School of Veterinary Medicine's Institutional Animal Care and Use Committee discussed this incident and the corrective measures at their monthly meeting on 01/10/23 and required no further action.

Sincerely,

(b) (6)

Nadine P. Connor, Ph.D.
Professor and Oros Family Chair in Communication Sciences & Disorders
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

Office of the Vice Chancellor for Research and Graduate Education
333 Bascom Hall 500 Lincoln Drive Madison, Wisconsin 53706 608-262-1044

(b) (6)

Rebecca Johnson, DVM, PhD, DACVAA
Clinical Professor and Research Anesthesiologist
Chair – School of Veterinary Medicine, Institutional Animal Care and Use Committee

xc: AALAC

(b) (6)

McCoy, Devora (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, January 25, 2023 1:51 PM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Good afternoon (b) (6)

Thank you for sending us this report and we will send an official response soon.

Best,
Devora

Devora McCoy, BS, MBA ([pronunciation](#))
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: devora.mccoy@nih.gov

From: (b) (6)
Sent: Wednesday, January 25, 2023 11:35 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; Becky Johnson <rebecca.johnson@wisc.edu>; (b) (6)
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)