



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

July 23, 2020

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 10C]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your July 13, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin School of Medicine and Public Health (SMPH). This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the SMPH Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: the deaths of two mouse weanlings. The final report states that laboratory members forgot to place a feed hopper in the cage when animals were weaned. Also, the animal care personnel did not detect this oversight. The corrective action plan included the following:

- The retraining of all lab members on required husbandry when weanlings are separated into new cages and confirming the condition of all cages that have been weaned.
- The retraining of the vivarium staff involved by their supervisor.

It is understood that these animals were supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Wisconsin Madison has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T. Tubbs -S

Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2020.07.24
08:53:40 -04'00'

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



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November 4, 2020

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 10J]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 30, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin - Madison. Your letter supplements the information in the prompt telephone report on August 26, 2020. According to the information provided, OLAW understands that on August 22, 2020, five mice were found dead in a vivarium cage without food. The animals were associated with a PHS-supported activity.

Corrective and preventive actions included the vivarium supervisor retraining the involved animal care staff on the importance of checking the food every day. The involved staff had not previously been associated with such an event.

The prompt consideration of this matter by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the action taken to resolve the issue and prevent recurrence is appropriate. If research staff were involved in the initial incident, OLAW assumes that they have also received counseling and/or retraining. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.

Morse -S

Date: 2020.11.04 16:22:44 -05'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact



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Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

October 15, 2021

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 11N]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 19, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin-Madison following up on an initial September 2, 2021 notification by telephone.

According to the information provided, this Office understands that the University of Wisconsin-Madison Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to institutional policies/procedures and lack of food in a cage housing animals. The final report states on June 29, 2021 a Research Veterinarian performed a routine walk-through of a rodent vivarium. A room housing six *Peromyscus leucopus* mice was identified with a door husbandry sheet that was not checked off by laboratory personnel from June 26-29, 2021. It is stated that one cage did not have food present, but no animals appeared harmed, and no deaths occurred. In response, the responsible lab member along with the veterinarian provided food, discussed how the incident occurred, and discussed possible solutions to prevent future recurrence. It is understood the lab member and principal investigator (PI) submitted letters acknowledging the incident to the Chief Campus Veterinarian, Research Veterinarian and IACUC Chair detailing steps to prevent recurrence. Per the report, retraining of the laboratory personnel and PI was completed by the Research Veterinarian and facility supervisor. Also, the facility supervisor will instruct her staff to check the door sheet and sign off/report back to the Research Veterinarian if there are additional concerns. The IACUC has discussed the matter and corrective actions and has not required further action.

It is noted these animals are associated with PHS-funded activity. Based on its assessment of this explanation, OLAW understands that the University of Wisconsin-Madison has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs-S
Date: 2021.10.15 07:28:41 -0400

Jacquelyn Tubbs, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



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February 1, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 11S]

Dr. Nadine Connor
Associate Vice Chancellor
for Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 19, 2022 letter reporting an adverse incident within the animal care and use program at the University of Wisconsin – Madison. Your letter supplemented the information in the preliminary telephone report on November 30, 2021. According to the information provided, OLAW understands that on 11/10/2021, a water line was found to not be properly secured to a mouse rack. The flexible line was attached to give the appearance of being properly in place, and there was no water leakage at the attachment point. However, the line was not secured sufficiently to supply the rack with an appropriate amount of water. Ten mice were reported dead secondary to the incident. The associated activity was PHS funded.

The animal facility supervisor re-checked all water lines in the facility after the event and provided re-training to animal care staff on properly securing and checking water line attachments. Research laboratory members were not associated with the occurrence of this event.

The consideration of this incident by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse - Digitally signed by Brent C. Morse

-S

Date: 2022.02.01 16:52:30 -05'00'

S

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact



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February 6, 2023

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12M]

Dr. Nadine Connor
Associate Vice Chancellor for
Research Policy and Compliance
University of Wisconsin - Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 18, 2023 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Wisconsin – Madison. According to the information provided, OLAW understands that on January 7, 2023, one ventilated cage with two dead mice was reported by an animal caretaker. He reported that the water bottle had the sealant sticker on it so they could not access water. The mice were weaned into the cage by a student in the lab on December 29, 2022. The associated activity was PHS funded.

Retraining of the laboratory personnel was completed by the PI and retraining of the animal care technicians was completed by the Research Program Associate Director. The facility supervisor emailed all lab staff, veterinary staff and veterinary technicians using the ventilated caging system in the applicable rooms; they were required to read and respond as retraining on the correct water bottle to use.

The consideration of this incident by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C. Morse

-S

Date: 2023.02.06 15:18:55 -05'00'

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

cc: IACUC contact