



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 22, 2020

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Re: Animal Welfare Assurance
A3431-01 [OLAW Case 9B]

Dr. Lisa Muto
Executive Dean for Administration
Harvard Medical School
25 Shattuck Street, Gordon Hall - (b) (4)
Boston, MA 02115

Dear Dr. Muto

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 17, 2020 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Harvard Medical School. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the Harvard Medical School Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: a principal investigator (PI) not wearing personal protective equipment (PPE) while conducting animal work. The final report states that on April 24, 2020 a veterinarian observed a PI not wearing PPE while working with a chaired nonhuman primate. It is stated the IACUC sent a letter to the PI detailing the importance of following programmatic standard operating procedures (SOPs). In response, the PI assured that appropriate PPE will be worn when working with NHPs in the future. The report states the committee was satisfied with the corrective action plan.

It is noted that the final report did not include the funding source involving the incident. In the future, please include the source of funding and provide grant numbers for all PHS, NSF or NASA supported work. Based on its assessment of this explanation, OLAW understands that Harvard Medical School has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T. Tubbs -S
Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2020.06.22 12:37:14
-04'00'

Jacquelyn T. Tubbs, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

Robert M. Gibbens, DVM, Director, Animal Welfare Operation



HARVARD MEDICAL SCHOOL

LISA M. MUTO, PH.D.
EXECUTIVE DEAN FOR ADMINISTRATION
HARVARD MEDICAL SCHOOL
25 SHATTUCK STREET, SUITE 408
BOSTON, MA 02115

June 17, 2020

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

FINAL REPORT

RE: Non-Compliance Report: Assurance: **A3431-01**
Harvard Medical Area Standing Committee on Animals (HMA IACUC)
Species: NHP Grant: 5R01EY025670-04

Dear Dr. Morse,

This letter serves as a final report on a recent noncompliance that has not been preliminarily reported to OLAW by the Harvard Medical Area Standing Committee on Animals (HMA IACUC).

On April 24, 2020, a veterinarian observed the PI working with a chaired NHP without wearing PPE.

The IACUC sent the PI a letter explaining the importance of following programmatic SOPs. The PI assured that appropriate PPE will be worn when working with NHPs going forward.

The Committee is satisfied with the corrective actions mentioned above and considers this incident to be resolved. Please let us know if any additional information is required at this time.

Sincerely,

(b) (6)

Lisa M. Muto, PhD
Executive Dean for Administration
HMA Institutional Animal Care & Use Committee: Institutional Official

CC: **Gerald B. Pier, PhD**
Chairman, Harvard Medical Area Standing Committee on Animals

Brian F. Corning, DVM, DACLAM
Director of the Harvard Center for Comparative Medicine, Harvard Medical School: Attending
Veterinarian

Dr. Morse, Re: A3431-01
June 17, 2020
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Arlene Santos-Diaz, MS
Director, Office of the IACUC, Harvard Medical School

[Redacted]

(b) (6)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, June 19, 2020 6:59 AM
To: HMS-IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Final Report

Thank you. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: HMS-IACUC <iacuc@hms.harvard.edu>
Sent: Wednesday, June 17, 2020 3:44 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Final Report