



China Southern Airlines Co., Limited
11201 Aviation Blvd.
Los Angeles, CA 90045

CITATION AND NOTIFICATION OF PENALTY

We believe that you violated the Animal Welfare Act (7 U.S.C. § 2131 et seq.) (AWA), as described below.

Date of Violation: On or about August 28, 2012

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting a regulated animal out of the United States. You transported via air, one privately owned canine, out of the Los Angeles International Airport to China without a Class T registration.

Date of Violation: On or about March 27, 2013

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting regulated animals into the United States. You transported via air, approximately 60 crates containing approximately 120 Macaca Fascicularis monkeys into Chicago O'Hare International Airport without a Class T registration.



Date of Violation: On or about March 27, 2013

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting regulated animals into the United States. You transported via air, a second shipment of approximately 60 crates containing approximately 120 *Macaca Fascicularis* monkeys into Chicago O'Hare International Airport without a Class T registration.

Date of Violation: On or about March 28, 2013

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting regulated animals into the United States. You transported via air, approximately 52 crates containing approximately 180 *Macaca Fascicularis* monkeys into Chicago O'Hare International Airport without a Class T registration.

Date of Violation: On or about April 3, 2013

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting regulated



animals into the United States. You transported via air, approximately 36 crates containing approximately 180 Macaca Fascicularis monkeys into Chicago O'Hare International Airport without a Class T registration.

Date of Violation: On or about July 13, 2013

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting regulated animals into the United States. You transported via air, approximately 36 crates containing approximately 180 Macaca Fascicularis monkeys into Chicago O'Hare International Airport without a Class T registration.

Date of Violation: On or about July 24, 2013

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting regulated animals into the United States. You transported via air, approximately 120 crates containing approximately 240 Macaca Fascicularis monkeys into Chicago O'Hare International Airport without a Class T registration.

Date of Violation: On or about August 7, 2013

9 C.F.R. § 2.25(a) Requirements and procedures.

(a) Each carrier and intermediate handler, and each exhibitor not required to be licensed under section 3 of the Act and the regulations of this subchapter, shall register with the Secretary by completing and filing a properly executed form which will be furnished, upon request, by the AC Regional Director. The



registration form shall be filed with the AC Regional Director for the State in which the registrant has his or her principal place of business, and shall be updated every 3 years by the completion and filing of a new registration form which will be provided by the AC Regional Director.

You failed to register with the Secretary prior to transporting regulated animals into the United States. You transported via air, approximately 72 crates containing approximately 360 *Macaca Fascicularis* monkeys into Chicago O'Hare International Airport without a Class T registration.

The penalty for the alleged violation(s) described above is \$11,600.