



February 17, 2021

Rick Woychik, Ph.D.
Director
National Institute of Environmental Health Sciences

Via e-mail: NIEHSDirector@nih.gov

Dear Dr. Woychik:

Thank you in advance for your time. I'm writing on behalf of People for the Ethical Treatment of Animals (PETA) and our more than 6.5 million members and supporters. On June 15, 2020, we sent a detailed letter to the U.S. Department of Health and Human Services Office of Inspector General urging Principal Deputy Inspector General Christi Grimm to investigate, assess, and take corrective action regarding the apparent egregious waste of National Institutes of Health (NIH) research grants—worth up to billions of taxpayer dollars each year—and the apparent failure of NIH-funded protocols to reduce and replace animal use in experiments as required by federal provisions.¹

Based on the new information presented below, we urge the National Institute of Environmental Health Sciences (NIEHS) to cancel the taxpayer funding—amounting to \$308,000 in FY2017² and \$357,057 in FY2021³—granted to experimenter Stephen Lloyd of the Oregon Health & Science University (OHSU) for any and all renewals of his protocols, and insist that he reimburses the taxpayer funds used to acquire, breed, confine and maintain the animals used in experiments whom OHSU categorized as unnecessary, extraneous, noncritical, non-essential, ramped down, disposable, or nonpriority or described using similar terminology and—according to documents obtained by PETA through Freedom of Information Act requests—euthanized in response to COVID-19.

¹People for the Ethical Treatment of Animals. (2020, June 15). *Request to the U.S. Department of Health and Human Services regarding the COVID-19 contingency plans at US universities*. <https://www.peta.org/wp-content/uploads/2020/06/20-06-15-Request-to-HHS-OIG-regarding-COVID-19-contingency-plans-at-US-universities.pdf>

² NIH. (n.d.). *The Roles Of BER And TLS in Limiting Aflatoxin-Induced Carcinogenesis: IR56ES027632-01A1*. Research Portfolio Online Reporting Tools (RePORT): Project Information.

https://projectreporter.nih.gov/project_info_details.cfm?aid=9527499&icde=53581510

³ NIH. (n.d.). *Role of Base Excision Repair in Limiting Hepatocellular Carcinomas: 5R01ES031086-02*. Research Portfolio Online Reporting Tools (RePORT): Project Information https://projectreporter.nih.gov/project_info_details.cfm?aid=10077479&icde=53581431

PEOPLE FOR
THE ETHICAL
TREATMENT
OF ANIMALS

Washington, D.C.
1536 16th St. N.W.
Washington, DC 20036
202-483-PETA

Los Angeles
2154 W. Sunset Blvd.
Los Angeles, CA 90026
323-644-PETA

Norfolk
501 Front St.
Norfolk, VA 23510
757-622-PETA

Berkeley
2855 Telegraph Ave.
Ste. 301
Berkeley, CA 94705
510-763-PETA

Info@peta.org
PETA.org

Affiliates:

- PETA Asia
- PETA India
- PETA France
- PETA Australia
- PETA Germany
- PETA Netherlands
- PETA Foundation (U.K.)

Euthanizing Animals Extraneous to NIEHS-Funded Experiments Wastes Taxpayer Funds

Dr. Lloyd conducted and/or conducts the NIEHS-funded protocols titled, “The Roles of BER and TLS in Limiting Aflatoxin-Induced Carcinogenesis” (1R56ES027632-01A1) and “Role of Base Excision Repair in Limiting Hepatocellular Carcinomas” (5R01ES031086-02). Per its COVID-19 response

plan, OHSU notified its staff that “the university’s research mission has been curtailed,”⁴ and on March 23, 2020, OHSU transitioned to “modified operations” in response to COVID-19.⁵ As a result, animals assigned to Lloyd’s aforementioned protocol were euthanized in March 2020.⁶ This was verified in a correspondence with OHSU staff.^{7,8,9}

The fact that the laboratory led by Lloyd had any unnecessary, non-essential, noncritical, or extraneous animals in the first place should raise significant red flags, especially since his experiments are funded by taxpayers who should not have to foot the bill for such waste.

Lloyd’s NIEHS-Funded Protocol Failed to Reduce and Replace Animal Use

The presence of any unnecessary, non-essential, noncritical, or extraneous animals in NIEHS-funded experiments led by Lloyd flies in the face of existing regulations designed to minimize the use of animals in experiments.

NIH-supported language requiring the minimization of the experimental use of animals is present in the Health Research Extension Act of 1985, the National Institutes of Health Revitalization Act of 1993, the eighth edition of the *Guide for the Care and Use of Laboratory Animals*, and the U.S. Government Principles for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training:

- The Health Research Extension Act of 1985 states, “The Director of NIH shall require each applicant for a grant, contract, or cooperative agreement involving research on animals ... to include in its application or contract proposal ... (1) assurances satisfactory to the Director of NIH that ... (B) scientists, animal technicians, and other personnel involved with animal care, treatment, and use by the applicant have available to them instruction or training in the ... use of *research or testing methods that limit the use of animals* or limit animal distress [*emphasis added*].”¹⁰

⁴OHSU. (2020, February 28). *OHSU coronavirus (COVID-19) response*.

<https://news.ohsu.edu/2020/02/28/preparing-for-the-novel-coronavirus-at-ohsu>

⁵OHSU. (n.d.). *Research and Innovation*. <https://www.ohsu.edu/research-innovation>

⁶OHSU. (n.d.). *Euthanized due to COVID-19*. https://www.peta.org/wp-content/uploads/2021/02/Euthanized-due-to-COVID-19_Redacted.pdf

⁷Kuske, R. (2021, February 9). *Response to PETA’s 2/3/21 amended request*. <https://www.peta.org/wp-content/uploads/2021/02/2021-02-09-Responsive-docs-sent-in-previous-FOIA.pdf>

⁸Kuske, R. (2021, February 11). *Response to PETA’s 08/28/20 & 12/16/20 requests*. <https://www.peta.org/wp-content/uploads/2021/02/Response-to-PETAs-082820-121620-requests.pdf>

⁹eIACUC. (2021, January 6). *Role of Base Excision Repair following Oxidative Stress (TR01_IP00000145)*. https://www.peta.org/wp-content/uploads/2021/02/IP00145_Redacted.pdf

¹⁰Health Research Extension Act of 1985, Publ. L. No. 99-158. (1985). <https://olaw.nih.gov/policies-laws/hrea-1985.htm>

- The National Institutes of Health Revitalization Act of 1993 states, “The Director of NIH ... shall prepare a plan ... for the National Institutes of Health to conduct or support research into ... *methods of such research and experimentation that reduce the number of animals used in such research [emphasis added]*.”¹¹
- The eighth edition of the *Guide for the Care and Use of Laboratory Animals* states, “The *Guide* ... endorses the following principles: *consideration of alternatives (in vitro systems, computer simulations, and/or mathematical models) to reduce or replace the use of animals [emphasis added]*.”¹²
- The U.S. Government Principles for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training (1985) states, “The animals selected for a procedure should be of an appropriate species and quality and *the minimum number required to obtain valid results [emphasis added]*.”¹³

When Lloyd, and NIEHS-funded experimenter, buys, breeds, traps, and/or uses animals who at any time—not just during the COVID-19 pandemic—can be deemed unnecessary, non-essential, noncritical, or extraneous or described using similar terminology, he squanders limited research funds, which are provided by taxpayers, and flouts the bedrock “3Rs” principle of reducing, replacing, and refining the use of animals in experimentation that is enshrined in government regulations and policies.

Under this standard, the number of unnecessary, non-essential, noncritical, or extraneous animals used in the experiments led by Lloyd should have been zero from the start since they weren’t relevant to his project. Also, because taxpayer funds were used to acquire, breed, confine, and/or maintain these unnecessary, non-essential, noncritical, or extraneous animals who were then so easily euthanized and disposed of in response to COVID-19, OHSU should reimburse the NIEHS for this fiscal waste.

Furthermore, the Congressional Research Service (CRS) has found that during the COVID-19 pandemic, “[s]uspending research may result in additional costs for activities such as animal care,” and “[r]estarting research, when conditions permit, may also incur costs for staff time and supplies to ... reestablish laboratory animal populations.”¹⁴ Taxpayers and NIEHS should not be responsible for the additional costs associated with “reestablishing laboratory animal populations” since universities deemed many of them to be unnecessary, non-essential, noncritical, or extraneous to the experiments and because repopulating animals in laboratories at taxpayers’ expense would appear to violate the aforementioned federal regulations and policies that mandate minimizing the use of animals in experiments.

Request for Research Accountability and Modernization

¹¹NIH Revitalization Act of 1993, Publ. L. No. 103-43. (1993). <https://grants.nih.gov/grants/olaw/pl103-43.pdf>

¹²National Research Council Committee for the Update of the Guide for the Care and Use of Laboratory Animals. (2011). *Guide for the care and use of laboratory animals*. <https://grants.nih.gov/grants/olaw/guide-for-the-care-and-use-of-laboratory-animals.pdf>

¹³National Research Council Committee for the Update of the Guide for the Care and Use of Laboratory Animals. (2011). *Appendix B: U.S. government principles for the utilization and care of vertebrate animals used in testing, research, and training*. <https://www.ncbi.nlm.nih.gov/books/NBK54048/>

¹⁴Congressional Research Service. (2020, April 10). *Effects of COVID-19 on the federal research and development enterprise*. <https://crsreports.congress.gov/product/pdf/R/R46309>

We urge you to cancel the funding granted to Lloyd's projects 1R56ES027632-01A1 and 5R01ES031086-02, and to seek reimbursement from him for any and all NIEHS funds wasted to acquire, breed, confine and/or maintain the animals who he and/or OHSU deemed unnecessary, non-essential, noncritical, extraneous or described using similar terminology and then euthanized. Moreover, we encourage NIEHS to redirect the funds it initially granted to Lloyd to instead now focus on human-relevant, non-animal research methods, as described in PETA's Research Modernization Deal.¹⁵

You can contact me at ShalinG@peta.org or at 757-962-8325. We look forward to your reply regarding this important matter. Thank you.

Sincerely yours,

A handwritten signature in black ink that reads "Shalin G. Gala". The signature is written in a cursive style with a large, looping initial "S".

Shalin G. Gala
Vice President, International Laboratory Methods
Laboratory Investigations Department

¹⁵People for the Ethical Treatment of Animals. (2021). *The Research Modernization Deal 2021*.
<https://www.peta.org/wp-content/uploads/2020/12/PETA-2021-Research-Modernization-Deal.pdf>