September 15, 2020

Eugene DePasquale Auditor General Department of the Auditor General Finance Building 613 North Street, Room 229 Harrisburg, PA 17120-0018

Via e-mail: <u>auditorgen@PaAuditor.gov</u>

Dear Auditor DePasquale,

Thank you in advance for your time. I'm writing on behalf of People for the Ethical Treatment of Animals (PETA) and our more than 6.5 million members and supporters. **Based on the information below, we request that your office audit the performance of The Pennsylvania State University (Penn State) and the University of Pittsburgh (Pitt) regarding the apparent waste of state resources allocated to support animal research activities.**

In FY 2019, Penn State and Pitt received nearly \$473^{1,2} million in state appropriations, part of which may have been used to support animal experimentation activities. During the COVID-19 pandemic, Penn State and Pitt issued directives that likely led to the destruction of hundreds of animals they deemed extraneous, noncritical, or nonessential or described using similar terminology.

Penn State urged its experimenters to "immediately begin preparing for all on-campus research to be reduced to essential research-related activities by March 24,"³ informed them that "no new animal work may be initiated" after that date, and urged them to "[p]repare to terminate projects that are underway," "[r]educe breeding to a minimal level," and "[i]dentify critical/priority cages (founder stock for breeding colonies, irreplaceable animals, etc.)."⁴ Pitt urged its experimenters to "[i]dentify



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¹The Pennsylvania State University. (2019, October 25). *Audited financial statements*. <u>https://controller.psu.edu/sites/controller/files/psu_2019_financial_statements_-____final.pdf</u>.

²University of Pittsburgh. (2019, October 16). *Public meeting of the audit committee of the board of trustees*. <u>https://www.utimes.pitt.edu/sites/default/files/102419/Audit.pdf</u>

³The Pennsylvania State University. (n.d.). *Reducing research activities in Penn State labs by March 24*. <u>https://www.research.psu.edu/covid_labs</u>

⁴The Pennsylvania State University. (2020, March 20). *Updates on COVID-19: Animal care and use (IACUC)*. <u>https://www.research.psu.edu/covid_iacuc</u>

all non-essential research-related activities that can be delayed, ramped down, curtailed, or suspended \dots and begin the process to do so."⁵

That activities supporting unnecessary, "non-essential," noncritical, or extraneous animal experimentation are taking place at Penn State and Pitt at an apparent annual cost of millions of dollars, some of which may have been funded by the state, is the height of absurdity and wastefulness, and it is well within the mandate of your office to investigate this matter and rectify it.

We respectfully urge that your office investigate and assess the following information as it relates to the apparent waste of state funds by Penn State and Pitt on activities supporting experiments—and/or on the animals used in them—that the schools deemed extraneous during the COVID-19 pandemic:

- 1) Any and all state-funded activities supporting animal experiments that Penn State and Pitt suspended and/or ended in accordance with its response to COVID-19, such that the experiments may be categorized as unnecessary, extraneous, noncritical, or "non-essential" or described using similar terminology
- The number and species of any and all animals used in state-funded research activities at Penn State and Pitt covered by item number 1 above
- The number and species of any and all animals used in state-funded experiments who are categorized by Penn State and Pitt as unnecessary, extraneous, noncritical, or nonessential or described using similar terminology
- 4) The endpoint disposition (to include—but not be limited to—euthanasia, cull, sacrifice, killing, destruction, disposal, and/or reduction in cage census) of any and all animals used in state-funded experiments at Penn State and Pitt covered by item number 1 above
- 5) The endpoint disposition (to include—but not be limited to—euthanasia, cull, sacrifice, killing, destruction, disposal, and/or reduction in cage census) of any and all animals at Penn State and Pitt used in experiments receiving state funds who are themselves covered by item number 3 above
- 6) State funds used to support experiments at Penn State and Pitt covered by item number 1 above, to include—but not be limited to—the purchase, breeding, housing, feeding, maintenance, and/or veterinary care of any and all animals used in these experiments, and/or the payment of salaries and/or wages of personnel who attended to any and all animals used in these experiments
- 7) State funds used to purchase, breed, house, feed, maintain, or administer veterinary care to any and all animals—or pay the salaries and/or wages

⁵University of Pittsburgh. (n.d.). COVID-19: *Reduction in research operations*. <u>https://www.svcresearch.pitt.edu/covid-19-reduction-research-operations</u>

of personnel who attended to them—at Penn State and Pitt covered by item number 3 above

Furthermore, the decision by Penn State and Pitt to keep purchasing, breeding, housing, feeding, and maintaining animals to be used in state-funded research activities—where the experiments or animals themselves are deemed unnecessary, nonessential, noncritical, or extraneous or described using similar terminology—is at odds with the U.S. Public Health Service's *Guide for the Care and Use of Animals*, which includes the principle of "consideration of alternatives (in vitro systems, computer simulations, and/or mathematical models) to reduce or replace the use of animals,"⁶ and with the *U.S. Government Principles for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training* (1985), which state, "The animals selected for a procedure should be of an appropriate species and quality and the minimum number required to obtain valid results."⁷

When Penn State and Pitt buy, breed, trap, and/or use animals who at any time—not just during the COVID-19 pandemic—can be deemed unnecessary, extraneous, nonessential, or noncritical or described using similar terminology, they are squandering limited public resources and flouting the bedrock "3Rs" principles of reducing, replacing, and refining the use of animals in experimentation that are enshrined in government regulations and policies.

Moreover, the Congressional Research Service has found that during the COVID-19 pandemic, "[s]uspending research may result in additional costs for activities such as animal care," and "[r]estarting research, when conditions permit, may also incur costs for staff time and supplies to ... reestablish laboratory animal populations."⁸ Pennsylvania taxpayers should not be responsible for incurring additional costs to re-establish research activities involving animals, since Penn State and Pitt deemed many of them not to be essential to the experiments and because resuming these activities and repopulating animals in laboratories at taxpayers' expense would appear to violate federal regulations and policies that mandate the minimization of animal use in experiments.

https://crsreports.congress.gov/product/pdf/R/R46309.

⁶National Research Council (U.S.) Committee for the Update of the Guide for the Care and Use of Laboratory Animals. (2011). *Guide for the care and use of laboratory animals*. 8th edition. <u>https://grants.nih.gov/grants/olaw/guide-for-the-care-and-use-of-laboratory-animals.pdf</u>.

⁷National Research Council (U.S.) Committee for the Update of the Guide for the Care and Use of Laboratory Animals. (2011). *Guide for the care and use of laboratory animals. Appendix B: U.S. government principles for the utilization and care of vertebrate animals used in testing, research, and training.* <u>https://www.ncbi.nlm.nih.gov/books/NBK54048/</u>.</u> ⁸Congressional Research Service. (2020, April 10). *Effects of COVID-19 on the federal research and development enterprise.*

Based on this information, we urge your office to assess the use of public money, personnel, property, equipment, and space by Penn State and Pitt for activities supporting experiments on animals that they deem unnecessary, extraneous, "non-essential," or noncritical or describe using similar terminology. If wastefulness is corroborated, we request that you take all corrective actions to ensure that current statefunded research activities involving such animals are permanently terminated, that new state-funded research activities that include such animals are no longer approved, and that the breeding and acquisition of such animals for state-funded research activities are prohibited.

You can contact me at <u>ShalinG@peta.org</u>. We look forward to your reply regarding this important matter. Thank you.

Sincerely yours,

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Shalin G. Gala Vice President, International Laboratory Methods Laboratory Investigations Department