	, jog annym for har an jegifikanism			(1995年) (1996年) (1996年) (1996年) (1996年) (1996年) (1996年) (1996年)	Control of the Contro	and the second s	EXHIBIT
OIS ACCIDE	NT RE	h JRT	Optional	Number:	/ity Numbe	350	519. 1
Establishment Name: Monterey Zoo							
Owner 🛛 a. Private Sector Ship: 🔲 b. Local Gov't	Type of Bu	siness: e animal zo				Primary NA 172130	
c. State Gov't Business Address (Street, C							Phone Number
400 River Road, S	alinas CA	93908				(831) 4	55-3180
Mailing Address (Street, City	, County, State,	, Zip) 🛭 Same as	above				
Accident Site Address (Stree		93908					Number of Employees : 10
Site Management's Name: Charlie Sammat		Site's Phone Nu (831) 455-		Site Activity Ty Zookeep		7	ite's NAICS Same
Received by (CSHO ID): B4158	Receipt Date: 06/25/201	Receipt Type:	☐ Email ☑ Phone	☐ Fax ☐ Ho ☐ Online ☐ In		l l	eceipt Time: :50 PM
Source ☐ EE ☐ ER Type: ☐ EE Rep ☐ Ex	/Rep ☐ Famil		☐ St / Loc		Reported by (Sour		
Source's Job Title: President		Source	e's Address:	Same as above			Phone Number: 155-1914
Classification: Fatality Non-F	atality Report	ed by Profession	al Media	☐ Non-Fatality F	Reported by ER or (Other Party	
# Hospitalized # Unacco	unted #Fat	alities: # Non- Hospital	lized 0	ER Report Date 06/25/2018	ER Report Time 1:50 PM	Event Date 06/20/201	Event Time 9:45 AM
Incident Type: Struck by		L					
Preliminary Description (Sp					e elephant.		
Injured En	nployee Infor	mation		Age	Occupation	Cost	Injury
Name:					oo Keeper		s, bruises, tured vertebrae
Street Address:			Da	te of Birth			
Al whee/s)							
For Fatality: Next of Kin Info Relation, Name, Address,	ormation: Phone:						
Location Where Injured Em Natividad Hospita	ployee was Mov	ed to: -IEE was re	eleased on 6		ters Compensation Inst		
Other Law Enforcement	⊠ Fire Dept. C	o Fire 🔲 C			Ambulance		
Agencies Present at Site: Inspection Planned? \{		eriff Local Poli NoReason:	icė		CSHO(s) A	ssigned:	25458
District Manager's Signature:	675	55 For DL		المالية المالية	Date: (12/18	
			1-1				al/OSHA OAR (09/14)

OIS ACCIDE	NT RE	PORT	Optional Nu	mber	A	y Number	1357)519
stablishment Name:								
Monterey County winer a. Private Secto Ship: b. Local Gov't	Type of Bus	siness:					Primary N/ 712130	
c. State Gov't Business Address (Street, Compared to the control of the contr	Zoo City, County, Stat Salinas, CA	te, Zip) 🗌 Same . 93908	as above				Business F 831-45	Phone Number 5-1901
Mailing Address (Street, Cit	y, County, State,	Zip) 🛛 Same as	above					
ccident Site Address (Stre	et, City, County,	Zip or directions):	Same as at	pove			-	Number of Employees :
ite Management's Name: Charlie Sammut,	Owner	Site's Phone Nu 831-455-19		Site Activity Zoo	Туре:		S	ite's NAICS 🗵 Same
eceived by (CSHO ID):	Receipt Date: 6/20/18	Receipt Type:	☐ Email ☑ Phone	☐ Fax ☐ Online	☐ Hotline ☐ In person	☐ Mail ☐ Medi		eceipt Time: :00 PM
Source	•	amily Mbr		/ Local Gov ther (Specify):	by(Source	, r	Monterey e District
Source's Job Title: Engineer Parame	edic	Source	e's Address:	Same as ab			Source's F	Phone Number:
Classification:	Fatality Reporte	ed by Profession	nal Media	Non-Fatali	ty Reported by			Accident
Hospitalized # Unacco	ounted #Fat	# Non- Hospital		Report Date	ER Report		vent Date 5/20/18	9:57 am
Incident Type: Struck by elepha	int				- ·— -			
Preliminary Description (Sp			hant.					loiup
Injured E	mployee Infor	mation		Age	Occup Trainer	ation _	Abr	Injury asions on left
Street Address:			Date	of Birth	, raine.		arm	e of face and . Broken right le and back 1.
Phone Number(s):								
For <u>Fatality</u> : Next of Kin Inf Relation, Name, Address,	ormation: Phone:							
ocation Where Injured Employee was Moved to: Add Other Law Enforcement Agencies Present at Sit	MITEUL 1 □ Fire De	6/20/18	HP D	4111	lorkers Compens Insurance C ecify): paramed	arrier:		
Inspection Planned?		NoReason:			csi	HO(s) Assi	igned: /<	9158
District Manager's Signature:	75 AD.		FNT	ERE	Date	6/2	1/18	Cal/OSHA OAR (09

W 400

Tue Sep	25.	2018	16:27:	17 I	PM

Inspection R Tue Sep 25, 2018	Report				
RID	CSHO ID	Supervisor ID	Inspection Number	Optional Report Number	Case Closed Date
0950612	R5458	O2837	1325562	012-18	

Establishment Nam	ne	Monterey Z Inc.	Coological Society,	Doing Busine	ss As (DBA)		
Establishment Owner Name	Private Secto	r	Type of Business	Corporation	Primary N	NAICS	712130
Site Address	400 River Ro		Site Phone	(831)-455 - 1901	Extn	Site FAX	
Business Address	400 River Road SALINAS, CA, 93908		Business Phone	(831)-455-19	01	Business FAX	
Mailing Address	400 River Ro		E-mail			Mobile Phone	
Site Activity			NAICS Inspected	712130		Days on Site	1
Federal EIN		-	DUNs		Tempora	ry or Fixed Site?	Fixed Site
State Estab Id			DUNS plus4		CAGE C	ode	<u> </u>
Construction Type							

Entry	25-JUN-2018		First Closing Conference	10-25-20	8
	25-JUN-2018	09:30 AM	Second Closing Conference		
Walkaround	25-JUN-2018		Exit	25-JUN-2018	01:00 PM

Inspection Initiating Type	Accident		Secondary Type			
Other Initiating Type			Inspection Cate		Safety	
Scope of Inspection	Partial		_	Reason No Inspection		
Sampling Performed?	N	SVEP	N	Expln. for No Insp.		_
Federal Strategic Initiatives	S					
National Emphasis						
State Emphasis						
Local Emphasis						
Primary Emphasis						

Employed in Establishment	9	Walkaround?	N	Advance Notice?	N
Covered By Inspection	9	Interviewed?	Y	Flag for Follow-up	N
Controlled By Employer	9	Union?	N	Reason for Follow-up	
Is this Company a current federal contractor?		U			

Parent Company Legal Name		Parent Comp Trade Name/DBA	
Parent Company Address	Phone Number		Extn
TIN / EIN	<u> </u>	DUNS	
CAGE Code		DUNS plus4	

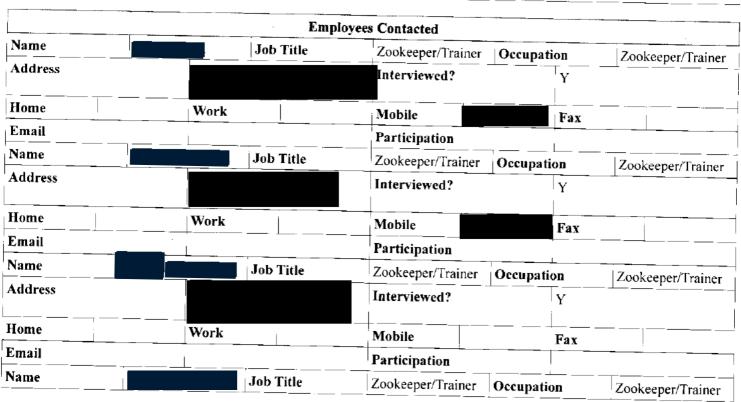
Tue Sep 25, 2018 16:27:17 PM Inspection Nr. 1325562

		Related Activity	
Activity Number	Activity Type	Satisfied	Establishment Name
1350519	FAT/CAT	Safety	Monterey County Zoo

	Related Inspections	
Inspection Number	Establishment Name	Related Inspection Type

Additional Codes							
Туре	ID	Value	Description				
<u>s</u>	14	SCIF	Enter worker's compensation insurance carrier, if none, enter NONE; if self, enter SELF				
S	18	HEAT	Case where outdoor heat is addressed				

		Employer Repres	entatives Conta	cted			
Name	Charlie Sammut	Job Title	Owner, Preside	ent Oc	cupati	on	Zoo
Address	400 River	r Rd. S, CA, 93908	Interviewed?			Y	Owner/Operator
Home	Work	831-455-3180	Mobile	831-809-	2919	– i Fax	
Email	charlie@r	nontereyzoo.com	Participation			Walk A	round, Credentials, g Conference



Page 3 Monterey Zoological Society, Inc.

Tue Sep 25, 2018 16:27:17 PM Inspection Nr. 1325562

Address		Interviewed?	
Home	Work	Mobile Fax	
Email		Participation	_ j

CSHO Signature	Date	9-25-2018
CSTO Signature	KOD	19218

E OF CALIFORNIA DIVISION O CUPATIONAL SAFETY AND HEALTH

CSE/IH R5458 2. Opt. Rpt.	No. J 3. FY 18 4. Insp.	No. 5. Region 1 District 2
6. Employer Monterey	200logical Sperety =	Inc.
7. Management Officials Contacted	Owner.	Present During
Name Little	President (831) 455-3	Phone contact only? Opening Inspection Closing
CHALLES DEMUNICITY	Mesiden (831)955-3	
1	-	님 날 날
8. Union Officials, Contacted	Labor Union: u/A	
Name DA Title	Phone No.	Present During Phone contact only? Opening Inspection Closing
2//	7 7.0.10 7.0.	Phone contact only? Opening Inspection Closing
Small Employer Relief?	Ex-Mod Documentation	Insurer:
10. Subsequent Visits:	PA	
11. Opening Conference	15. IIPP Review	19. Evaluation of Safety & Health Program
Date: 6/25/18/00	IIPP?	Effective Average Poor
Show ID ycharle	Written	Safety Responsibility 🔲 🔣 🔲
D'Explain Purpose Cal/OSHA Program	Effective except (a) (+)(C)	Employee Participation 🔲 💆 🔲
Employee Rights	Model Program Used	Training
Inspection Procedure	Previously Reviewed Date:	PPE
Cal/OSHA Poster	Date.	Housekeeping
Workers' Comp Insurance	a. Required Program Elements	
Cal/OSHA 300 Log	Responsible Person	20. Adjustment Factors in %
☐ Permits/Variances	☐ Şanctions/Enforcement	*Good Faith Size *History
PPE to Inspect	Communication	□ 30 Good □ 40 1-10 □ 10 Good
Consent to Inspect	<u>□</u> -frispections	
12. Exit Conference 6/25/18 W	☐ Investigation Procedures	□ 0 Poor □ 20 26-60 □ 0 Poor
Date:	. ☐ Training	□ 10 61-100
Observed Violations Charle	h Dogardkooning	□ 0 >100
Corrective Actions Samuel Anticipated Closing Date	b. Recordkeeping ☐ Inspection Records	* Does not apply to penalties for accident-related
1:00 pm	Training Records	Serious, Willful, Repeat, or Serious violations if Employer does not have an operative IIIPP
13. Closing Conference	Training records	" Employer does not have an operative mer-
/ Date: 10 - 25-2018	16. Hazard Communication Program	21. Entity Information
[- /- ,	☑ Written Program	Corp. Partnership Sole Owner LLC
Employees while	☑ Training	Site Information
Violations SAMM	<u></u> Labeling	Address:
Citations Abate / Consult (owner)	Storage	
Penalties	MSDS Available	Phone:
Sequirements	17. Other Requirements	Address:
Informal Conference	Cal/OSHA Poster posted	Address.
Appeal	Code of Safe Practices	Phone: MONTEREY LOS
Variances	☐ Tailgate Meetings	Co MAIN TAY
☑∕Discrimination	🔀 First Aid Kit	Address: Where the same
14. Follow-Up Inspection	☑ Trained First-Aider	
Recommended?	☑ Log 300	Phone:
<u>Reason:</u> ✓	300A Summary Posted (Feb - Apr)	
	Emergency Action Plan	Owner / Offi
0:5-4:12	Fire Prevention Plan	Website:
Citations Issued? Number Issued:	Respiratory Protection Program	Email:
Serious /	Lockout/Blockout Program	Location of Charlie Sammut
General O	Safety Process Management	Bus. Lic. No Founder - President
Regulatory /	☐ Confined Space Program ☐ Hearing Conservation Program	CSLB Lic. N (800) 228-7382
Notice 0	Bloodborne Pathogens Program	Garment Re (831) 455-3180
Info Memo 🔿	Heat Illness Prevention Procedures	FEIN or Sta fax (831) 455-1902 FLC Lic. NO 24 hr. cell (831) 809-2919
	18. Cross Jurisdictional Referral	FLC Lic. No 24 hr. cell (831) 809-2919 Other Lic./C www.montereyzoo.org
	✓ Proof of Workers' Comp	Other Lic./C charlie@montereyzoo.org
	Insurance	Outor Lio./C
	☐ Industrial Welfare Comm. Poster	Other Info:

22. Employees/Persons Interviewed Luring Inspection	
	1 11 (77)
2000	b. Name / Title , 200 kaper
Address.	Address.
City / State / ZIP:	City / State / ZTP
Phone (home):	Phone (home): Phone (bon):
c. Name / Title:	d. Name / Title: Louiveaper
Address:	Address:
City / State / 2 n -	City / State / zre
Phone (home): Phone (cell)	Phone (home):
	r none (nome).
23. Multi Employer Worksite? Yes ☐ No ☑ If Yes,	obtain the following information and a section of
20. main Employer Worksite: 1es 140 2 ii 1es,	obtain the following information on each employer involved:
a. Employer:	c. Employer:
Address:	Address:
Contact Name / Title:	Contact Name / Title:
Phone (bus.): Phone (cell):	
Activities:	Phone (bus.): Phone (cell):
	Activities:
Contract governing Employer's work at the site exists Employer is aware of violation	Contract governing Employer's work at the site exists
	Employer is aware of violation
Violation(s) was /were foreseeable to the Employer Employer took steps to protect Employees	Violation(s) was /were foreseeable to the Employer
If Yes, give details:	Employer took steps to protect Employees
Employer Category (check all that apply)	If Yes, give details:
Employer Category (check all that appry) Exposing Creating Controlling Correcting	Employer Category (check all that apply)
	☐ Exposing ☐ Creating ☐ Controlling ☐ Correcting
b. Employer:	d. Employer:
Address:	Address:
Contact Name / Title:	
	Contact Name / Title:
Phone (bus.): Phone (cell):	Phone (bus.): Phone (cell):
Phone: Contact:	Phone: Contact:
Activities:	Activities:
Contract governing Employer's work at the site exists	Contract governing Employer's work at the site exists
Employer is aware of violation	Employer is aware of violation
Violation(s) was /were foreseeable to the Employer Employer to be stored to produce the Employer	Violation(s) was /were foreseeable to the Employer
Employer took steps to protect Employees If Yes, give details:	Employer took steps to protect Employees
Employer Category (check all that apply)	If Yes, give details:
Exposing Creating Controlling Correcting	Employer Category (check all that apply) ☐ Exposing ☐ Creating ☐ Controlling ☐ Correcting
	☐ Exposing ☐ Creating ☐ Controlling ☐ Correcting
24. Opening and Closing Conference Summary / Additional	Comments Type of Issuedies Deticl
on 6/25/18 00 9:30 pm Exit of Charle Sammet, or on 6/25/18 & 1:00 pm	Miner Days leat.
· Jarang w Caprice Summer,	owner, presione
m 6/15/18/19:30PM	
	2
. Frit W Charle Sommet UL	me, president
de the Alian	, ,
on 6/25/18 w 1.00 pm	, , ,
· Closing conducted 10-25-2018	a 9: Roan Wicharlie Sammet
25 Provious Citation History	- 150 Mill Dichi (IC Di
25. Previous Citation History: If Yes, include history in case file No, include history in case file	•
26. Publications/Postings Provided:	
Cal Workplace Posting Model Programs Other	
n	
n ine	
'	
	·
27. Additional Sheets: if additional sheets are attached, check	this box:

Investigation Summary

Reporting ID	Investigation Summary Number	UPA Number	Event Date	Event Time	Construction
0950612	109431			09:45 AM	N

Establishment Name	Monterey Zoological Society,	Doing Business As (DBA)	
	Inc.		
Related Inspections			

Site Information

Sife Intoline	IIIOII				="	
Street Addres	ss 1: 400 River Road					
Street Addres	ss 2:					
County:	MONTEREY					
City	SALINAS	State	CA	Zip	93908	

Event

Employee was trampled by an elephant				
	Number of Employees			
Hospitalized	Non-Hospitalized	Unaccounted		
1	0	0		
		Number of Employees	Number of Employees	

Abstract

Abstract	
What was employee doing just before incident occurred?	Monterey Zoological Society, Inc., is a zoo with a bed and breakfast onsite. Employee # 1 and employee # 2 were walking two African elephants (Paula and Kristy) to the bed and breakfast bungalows to show them to guests. Employees use a cane and a bait bag with treats to provide direction and instruction to the elephants.
What happened?	The accident took place on June 20, 2018 at approximately 9:45 AM. DOSH learned of the accident through a timely report on June 20, 2018 from Monterey Fire. The employer reported the accident on 6-25-2018. The Division arrived on scene on June 25, 2018 at approximately 9:30 AM. As the elephants and their handlers approached the bed and breakfast near Bungalow # 1, Paula stopped in a nearby field refusing to continue. Employee # 1 could not get Paula to move therefore, employee # 2 took. over handling of Paula. Employee # 2 took aggressive action with Paula which caused the elephant to become agitated, causing Paula to step on employee #2's foot. Employee # 2 fell to the ground and the elephant began thrashing the employee on the ground. Employee # 2 grabbed onto the tusks of the elephant at which time the elephant threw employee # 2 approximately 3 to 4 feet to the side sustaining a fractures and bruising.
What was the injury or illness?	The victim was hospitalized for more than 24-hours.
What was the object or substance that directly harmed the employee?	Lack of procedures for handling elephants outside of their enclosure.
Keywords	

Victim 1	
Injured/Deceased Name	
Gender	
Age	
Victim Injury	Hospitalized

	, property			
Cause	— — — —			
	<u> </u>	Struck by		
Nature of Injury		Bruise/contusion/abrasion		
Next of Kin 1				
Next of Kin Name				
Relationship to Deceased				
Mailing Address		Phone Number		
Mailing City	State	Zip Code		